



**Substantial Beneficial Interest  
REPORTING FORM  
AND SUCCEEDING CROP YEARS**

|   |                   |
|---|-------------------|
| NAME OF APPLICANT/INSURED:  | POLICY NUMBER:    |
| <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER    (Check One) |                   |
| SOCIAL SECURITY OR EMPLOYER IDENTIFICATION NUMBER:  | ADDRESS OF AGENT: |
| AGENT NAME:                      AGENT CODE NUMBER:   | COMPANY NAME:     |

List persons and/or entities with 10 percent or more interest in the insurance entity identified above as the Applicant/Insured.

| NAME<br>(Print or Type) | COMPLETE ADDRESS<br>(St., R.R., P.O. Box, Zip, etc.) | SSN/EIN<br>(Check One & Enter No.)   | TELEPHONE<br>NUMBER | ENTITY<br>TYPE | SHARE |
|-------------------------|--|--|---------------------|----------------|-------|
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |
|                         |  | <input type="checkbox"/> SSN <input type="checkbox"/> EIN <input type="checkbox"/> OTHER | (   )               |                |       |

|                                 |       |
|---------------------------------|-------|
| SIGNATURE OF APPLICANT/INSURED: | DATE: |
|---------------------------------|-------|

The information I have furnished on this form is complete and accurate. I understand that any false or inaccurate information may result in the sanctions outlined in my policy and administrative, civil, and criminal sanctions under 18 U.S.C. " 1006 and 1014, 7 U.S.C. ' 1506, 31 U.S.C. " 3729 and 3730 and other federal statutes.

**CERTIFICATION STATEMENT**

I certify that the information and answers on this application are correct to my knowledge and belief; that none of the reasons for rejection in items 1 through 4 of the 'Conditions of Acceptance' apply; and that I am aware of and understand the requirements of the Collection of Information and Data (Privacy Act), as well as all other provisions contained on this application.

## **COLLECTION OF INFORMATION AND DATA (PRIVACY ACT)**

To the extent that the information requested herein relates to your individual capacity as opposed to your business capacity, the following statements are made in accordance with the Privacy Act of 1974, as amended (5 U.S.C. 552a). The authority for requesting information to be furnished on this form is the Federal Crop Insurance Act (7 U.S.C. 1501 et seq.) (Act) and the Federal crop insurance regulations contained in 7 C.F.R. chapter IV.

Collection of the social security account number (SSN) or the employer identification number (EIN) is authorized by section 506 of the Act and is required as a condition of eligibility for participation in the Federal crop insurance program. The primary use of the SSN or EIN is to correctly identify you, and any other person with an interest in you or your entity of 10 percent or more, as a policyholder within the systems maintained by the Federal Crop Insurance Corporation (FCIC). Furnishing the SSN or EIN is voluntary; however, failure to furnish that number will result in denial of program participation and benefits.

Your policy also specifies other information that must be provided. The principle purposes of this information are to provide insurance; reinsurance; determine eligibility; determine the correct parties to the agreement; determine and collect premiums or other monetary amounts (including administrative fees and over payments); and pay benefits. The routine uses of this information include: (1) Referral to the appropriate agency, whether Federal, State, local or foreign including the Department of Justice, charged with the responsibility of investigating or prosecuting a violation of law, or of enforcing or implementing a statute, rule regulation or order issued pursuant thereto, of any record within this system when information available indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute or by rule, regulation or order issued pursuant thereto; (2) Disclosure to a court, magistrate or administrative tribunal, or to opposing counsel in a proceeding before a court, magistrate or administrative tribunal, of any record within the system that constitutes evidence on that proceeding, or which is sought in the course of discovery, to the extent that FCIC determines that the records sought are relevant to the proceeding; (3) Disclosure to a congressional office in response to any inquiry from the congressional office made at the request of that individual; (4) Disclosure to Approved Insurance Providers (AIP) for any purpose relating to the sale, service, and administration of the Federal crop insurance program and the policies insured under the authority of the Act; (5) Disclosure to other Federal agencies and contractors, cooperators, and partners of FCIC for the purpose of conducting research, development, analyses, and evaluation into all aspects relating to new and existing crop insurance programs and other risk management tools; (6) Disclosure to contractors or other Federal agencies to conduct research and analysis to identify patterns, trends, anomalies, instances and relationships of AIP's, agents, loss adjusters and policyholders that may be indicative of fraud, waste, and abuse; (7) Disclosure to AIPs, contractors, and other applicable Federal agencies to determine whether information has been accurately provided to FCIC and the AIP's and to determine compliance with program requirements; and (8) Disclosure to AIPs, contractors, cooperators, partners of FCIC, and other Federal agencies for any purpose relating to the sale, service, administration, analysis and evaluation of the Federal crop insurance program.

Furnishing other information is also voluntary. However, failure to report the information specified in your policy may result in rejection of any claim for indemnity, replanting payment, or other benefit; ineligibility for insurance; a unilateral determination of any monetary amounts due; or any remedy provided in the policy.

## **NONDISCRIMINATION STATEMENT**

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs). Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotope, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, S.W. Washington, DC 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer.